

**ALABAMA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT  
GROUNDWATER BRANCH**

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**ARBCA FOR USTs  
TIER 3 REPORT FORMS  
(Revision 1.0, November 2001)**

(Optional - to be used if using the same models as in Tier 1. Otherwise, the format is determined in workplan)

<b>SITE NAME:</b>	
<b>UST INCIDENT NO.:</b>	
<b>FACILITY ID:</b>	
<b>DATE FORM COMPLETED:</b>	
<b>FORM COMPLETED BY:</b>	



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**TIER 3 EVALUATION**

The ADEM requires that the owner/operator submit a workplan for a Tier 3 evaluation. This workplan must be approved by the ADEM prior to conducting a Tier 3 evaluation. Discuss previously conducted Tier 1 and Tier 2 analyses that led to a Tier 3 evaluation. In addition, discuss models used in Tier 3 evaluation.

Large empty rectangular area for writing the Tier 3 evaluation workplan.

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## TIER 3 FATE AND TRANSPORT PARAMETERS

Parameter	Symbol	Unit	Tier 1 Default Value	Tier 3 Value	Source
<b>SOIL PARAMETERS:</b>					
Length of Soil Source Area Parallel to Wind Direction	$W_u$	cm	1500		
Depth to Subsurface Soil Sources	$L_s$	cm	30.48		
Lower Depth of Surficial Soil Zone	d	cm	30.48		
Thickness of Capillary Fringe	$h_{cap}$	cm	5		
Thickness of Vadose Zone	$h_v$	cm	295		
Dry Soil Bulk Density in the Vadose Zone	$\rho_s$	g/cm <sup>3</sup>	1.8		
Fractional Organic Carbon Content in the Vadose Zone	foc	g-C/g-soil	0.01		
Total Soil Porosity in the Vadose Zone	$\theta_T$	cm <sup>3</sup> /cm <sup>3</sup> -soil	0.3		
Volumetric Water Content in Vadose Zone	$\theta_{ws}$	cm <sup>3</sup> /cm <sup>3</sup>	0.1		
Volumetric Air Content in Vadose Zone	$\theta_{as}$	cm <sup>3</sup> /cm <sup>3</sup>	0.2		
Volumetric Water Content in Capillary Fringe	$\theta_{wcap}$	cm <sup>3</sup> /cm <sup>3</sup>	0.27		
Volumetric Air Content in Capillary Fringe	$\theta_{acap}$	cm <sup>3</sup> /cm <sup>3</sup>	0.03		
Volumetric Water Content in Foundation or Wall Cracks	$\theta_{wcrack}$	cm <sup>3</sup> /cm <sup>3</sup>	0.1		
Volumetric Air Content in Foundation/Wall Cracks	$\theta_{acrack}$	cm <sup>3</sup> /cm <sup>3</sup>	0.2		
<b>GROUNDWATER PARAMETERS:</b>					
Depth to Groundwater	$L_{gw}$	cm	300		
Width of GW Source Area Perpendicular to GW Flow Direction	Y	cm	1500		
Length of GW Source Area Parallel to GW Flow Direction	W	cm	1500		
Total Soil Porosity in the Saturated Zone	$\theta_{TS}$	cm <sup>3</sup> /cm <sup>3</sup> -soil	0.3		
Dry Soil Bulk Density in the Saturated Zone	$\rho_{ss}$	g/cm <sup>3</sup>	1.8		
Fractional Organic Carbon Content in the Saturated Zone	foc <sub>s</sub>	g-C/g-soil	0.01		
Groundwater Mixing Zone Thickness	$\delta_{gw}$	cm	200		
Hydraulic Conductivity in the Saturated Zone	K	cm/year	31536		
Hydraulic Gradient in the Saturated Zone	i	--	0.005		
Groundwater Darcy Velocity	$U_{gw}$	cm/year	157.68		
Infiltration Rate	I	cm/year	14.8		
<b>STREAM PARAMETERS:</b>					
Stream Flow Rate (Calculated per Appendix C)	$Q_{sw}$	ft <sup>3</sup> /day	variable		Site-specific
<b>AMBIENT AIR PARAMETERS:</b>					
Breathing Zone Height	$\delta_a$	cm	200		
Wind Speed within the Breathing Zone	$U_a$	cm/s	225		
<b>ENCLOSED SPACE PARAMETERS:</b>					
Enclosed Space Air Exchange Rate:					
Residential	ER	1/sec	0.00014		
Commercial/Construction Worker	ER	1/sec	0.00023		
Enclosed Space Volume/Infiltration Area Ratio:					
Residential	$L_B$	cm	200		
Commercial/Construction Worker	$L_B$	cm	300		

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## TIER 3 FATE AND TRANSPORT PARAMETERS

Parameter	Symbol	Unit	Tier 1 Default Value	Tier 3 Value	Source
<b>ENCLOSED SPACE PARAMETERS continued:</b>					
Enclosed Space Foundation or Wall Thickness:					
Residential	$L_{crack}$	cm	15		
Commercial/Construction Worker	$L_{crack}$	cm	15		
Areal Fraction of Cracks in Foundation/Walls:					
Residential	$\eta$	cm <sup>2</sup> /cm <sup>2</sup>	0.01		
Commercial/Construction Worker	$\eta$	cm <sup>2</sup> /cm <sup>2</sup>	0.01		
<b>PARTICULATE EMISSION RATE:</b>					
Residential and Commercial	$P_e$	g/cm <sup>2</sup> -sec	6.90E-14		
Construction Worker	$P_e$	g/cm <sup>2</sup> -sec	6.90E-09		
<b>AVERAGING TIME FOR VAPOR FLUX:</b>					
Resident Child	$\tau$	sec	1.89E+08		
Resident Adult	$\tau$	sec	9.46E+08		
Commercial Worker	$\tau$	sec	7.88E+08		
Construction Worker	$\tau$	sec	3.15E+07		
<b>GROUNDWATER RESOURCE PROTECTION PARAMETERS:</b>					
Distance from the Downgradient Edge of the Groundwater Source to the Point of Exposure	$X_{poe}$	ft	variable		Site-specific
Longitudinal Dispersivity	$\alpha_x$	ft	$X_{poe}/10$		Site-specific
Transverse Dispersivity	$\alpha_y$	ft	$X_{poe}/30$		Site-specific
Vertical Dispersivity	$\alpha_z$	ft	$X_{poe}/200$		Site-specific
Distance from the Downgradient Edge of the Groundwater Source to the Point of Compliance	$X_{poc}$	ft	variable		Site-specific
Longitudinal Dispersivity	$\alpha_x$	ft	$X_{poc}/10$		Site-specific
Transverse Dispersivity	$\alpha_y$	ft	$X_{poc}/30$		Site-specific
Vertical Dispersivity	$\alpha_z$	ft	$X_{poc}/200$		Site-specific
<b>STREAM PROTECTION PARAMETERS:</b>					
Distance from the Downgradient Edge of the Groundwater Source to the Stream	$X_s$	ft	variable		Site-specific
Longitudinal Dispersivity	$\alpha_x$	ft	$X_s/10$		Site-specific
Transverse Dispersivity	$\alpha_y$	ft	$X_s/30$		Site-specific
Vertical Dispersivity	$\alpha_z$	ft	$X_s/200$		Site-specific
Distance from the Downgradient Edge of the Groundwater Source to the Point of Compliance	$X_{spoc}$	ft	variable		Site-specific
Longitudinal Dispersivity	$\alpha_x$	ft	$X_{spoc}/10$		Site-specific
Transverse Dispersivity	$\alpha_y$	ft	$X_{spoc}/30$		Site-specific
Vertical Dispersivity	$\alpha_z$	ft	$X_{spoc}/200$		Site-specific

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## JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS

1.	Length of soil source area parallel to wind direction ( $W_s$ ) [cm]
2.	Depth to subsurface soil sources ( $L_s$ ) [cm]
3.	Lower depth of surficial soil zone (d) [cm]
4.	Thickness of capillary fringe ( $h_{cap}$ ) [cm]
5.	Thickness of vadose zone ( $h_v$ ) [cm]
6.	Dry soil bulk density in the vadose zone ( $\rho_s$ ) [g/cm <sup>3</sup> ]
7.	Fractional organic carbon content in the vadose zone (foc) [g-C/g-soil]

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## JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS

8.	Total soil porosity in the vadose zone ( $\theta_T$ ) [ $\text{cm}^3/\text{cm}^3\text{-soil}$ ]
9.	Volumetric water content in the vadose zone ( $\theta_{ws}$ ) [ $\text{cm}^3/\text{cm}^3$ ]
10.	Volumetric air content in the vadose zone ( $\theta_{as}$ ) [ $\text{cm}^3/\text{cm}^3$ ]
11.	Volumetric water content in the capillary fringe ( $\theta_{wcap}$ ) [ $\text{cm}^3/\text{cm}^3$ ]
12.	Volumetric air content in the capillary fringe ( $\theta_{acap}$ ) [ $\text{cm}^3/\text{cm}^3$ ]
13.	Volumetric water content in foundation or wall cracks ( $\theta_{wcrack}$ ) [ $\text{cm}^3/\text{cm}^3$ ]
14.	Volumetric air content in foundation or wall cracks ( $\theta_{acrack}$ ) [ $\text{cm}^3/\text{cm}^3$ ]

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## JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS

15.	Depth to groundwater ( $L_{gw}$ ) [cm]
16.	Width of GW source area perpendicular to GW flow direction (Y) [cm]
17.	Length of GW source area parallel to GW flow direction (W) [cm]
18.	Total soil porosity in the saturated zone ( $\theta_{TS}$ ) [ $\text{cm}^3/\text{cm}^3$ -soil]
19.	Dry soil bulk density in the saturated zone ( $\rho_{sn}$ ) [ $\text{g}/\text{cm}^3$ ]
20.	Fractional organic carbon content in the saturated zone (foc <sub>s</sub> ) [g-C/g-soil]
21.	Groundwater mixing zone thickness ( $\delta_{gw}$ ) [cm]



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## JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS

22.	Hydraulic conductivity in the saturated zone (K) [cm/year]
23.	Hydraulic gradient in the saturated zone (i) [–]
24.	Groundwater Darcy Velocity ( $U_{gw}$ ) [cm/year]
25.	Infiltration rate (I) [cm/year]
26.	Stream flow rate ( $Q_{sw}$ ) [ $ft^3/day$ ]. <i>If calculated using Bingham (1982, Appendix C), show calculations and justify input values used.</i>
27.	Breathing zone height ( $\delta_a$ ) [cm]
28.	Wind speed within the breathing zone ( $U_a$ ) [cm/s]

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## JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS

29.	Enclosed space air exchange rate: residential (ER) [1/sec]
30.	Enclosed space air exchange rate: commercial/construction worker (ER) [1/sec]
31.	Enclosed space volume-infiltration area ratio: residential ( $L_B$ ) [cm]
32.	Enclosed space volume-infiltration area ratio: commercial/construction worker ( $L_B$ ) [cm]
33.	Enclosed space foundation or wall thickness: residential ( $L_{crack}$ ) [cm]
34.	Enclosed space foundation or wall thickness: commercial/construction worker ( $L_{crack}$ ) [cm]
35.	Areal fraction of cracks in foundation/walls: residential ( $\eta$ ) [cm <sup>2</sup> /cm <sup>2</sup> ]

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## JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS

36.	Areal fraction of cracks in foundation/walls: commercial/construction worker ( $\eta$ ) [ $\text{cm}^2/\text{cm}^2$ ]
37.	Particulate emission rate: residential and commercial ( $P_e$ ) [ $\text{g}/\text{cm}^2\text{-sec}$ ]
38.	Particulate emission rate: construction worker ( $P_e$ ) [ $\text{g}/\text{cm}^2\text{-sec}$ ]
39.	Averaging time for vapor flux: resident child ( $\tau$ ) [sec]
40.	Averaging time for vapor flux: resident adult ( $\tau$ ) [sec]
41.	Averaging time for vapor flux: commercial worker ( $\tau$ ) [sec]
42.	Averaging time for vapor flux: construction worker ( $\tau$ ) [sec]

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**JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS**

43.	Distance from the downgradient edge of the groundwater source to the point of exposure (Xpoe) [ft]
43a.	Longitudinal dispersivity ( $\alpha_x$ ) [ft]
43b.	Transverse dispersivity ( $\alpha_y$ ) [ft]
43c.	Vertical dispersivity ( $\alpha_z$ ) [ft]
44.	Distance from the downgradient edge of the groundwater source to the point of compliance for protection of POC (Xpoc) [ft]
44a.	Longitudinal dispersivity ( $\alpha_x$ ) [ft]
44b.	Transverse dispersivity ( $\alpha_y$ ) [ft]

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**JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS**

44c.	Vertical dispersivity ( $\alpha_z$ ) [ft]

45.	Distance from the downgradient edge of the groundwater source to the stream ( $X_s$ ) [ft]

45a.	Longitudinal dispersivity ( $\alpha_x$ ) [ft]

45b.	Transverse dispersivity ( $\alpha_y$ ) [ft]

45c.	Vertical dispersivity ( $\alpha_z$ ) [ft]

46.	Distance from the downgradient edge of the groundwater source to the point of compliance for stream protection ( $X_{spoc}$ ) [ft]

46a.	Longitudinal dispersivity ( $\alpha_x$ ) [ft]

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**JUSTIFICATION FOR TIER 3 FATE AND TRANSPORT PARAMETERS**

46b.	Transverse dispersivity ( $\alpha_y$ ) [ft]
46c.	Vertical dispersivity ( $\alpha_z$ ) [ft]
47.	
48.	
49.	
50.	
51.	

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## TIER 3 EXPOSURE FACTORS

Parameter	Symbol	Unit	Tier 1 Default Value	Tier 3 Value	Source
<b>Averaging Time</b>					
Carcinogen	AT <sub>c</sub>	years	70		
Non-Carcinogen	AT <sub>n</sub>	years	=Exposure Duration		
<b>Body Weight</b>					
Child Receptors	BW	kg	15		
Adult Receptors	BW	kg	70		
<b>Exposure Duration</b>					
Resident Child	ED	years	6		
Resident Adult	ED	years	30		
Commercial Worker	ED	years	25		
Construction Worker	ED	years	1		
<b>Exposure Frequency</b>					
Resident Child	EF	days/year	350		
Resident Adult	EF	days/year	350		
Commercial Worker	EF	days/year	250		
Construction Worker	EF	days/year	250		
<b>Soil Ingestion Rate</b>					
Resident Child	IR <sub>soil</sub>	mg/day	200		
Resident Adult	IR <sub>soil</sub>	mg/day	100		
Commercial Worker	IR <sub>soil</sub>	mg/day	50		
Construction Worker	IR <sub>soil</sub>	mg/day	100		
<b>Groundwater Ingestion Rate (IRW)</b>					
Resident Adult	IR <sub>w</sub>	L/day	2		
<b>Hourly Indoor Inhalation Rate</b>					
Resident Child	IR <sub>air-indoor</sub>	m <sup>3</sup> /hr	0.417		
Resident Adult	IR <sub>air-indoor</sub>	m <sup>3</sup> /hr	0.633		
Commercial Worker	IR <sub>air-indoor</sub>	m <sup>3</sup> /hr	1.5		
Construction Worker	IR <sub>air-indoor</sub>	m <sup>3</sup> /hr	1.5		

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## TIER 3 EXPOSURE FACTORS

Parameter	Symbol	Unit	Tier 1 Default Value	Tier 3 Value	Source
<b>Exposure Time for Indoor Inhalation</b>					
Resident Child	ET <sub>in</sub>	hr/day	24		
Resident Adult	ET <sub>in</sub>	hr/day	24		
Commercial and Construction Workers	ET <sub>in</sub>	hr/day	10		
<b>Hourly Outdoor Inhalation Rate</b>					
Resident Child	IR <sub>air-outdoor</sub>	m <sup>3</sup> /hr	1.0		
Resident Adult	IR <sub>air-outdoor</sub>	m <sup>3</sup> /hr	1.5		
Commercial and Construction Workers	IR <sub>air-outdoor</sub>	m <sup>3</sup> /hr	1.5		
<b>Exposure Time for Outdoor Inhalation</b>					
Resident Child	ET <sub>out</sub>	hr/day	10		
Resident Adult	ET <sub>out</sub>	hr/day	10		
Commercial and Construction Workers	ET <sub>out</sub>	hr/day	10		
<b>Skin Surface Area</b>					
Resident Child	SA	cm <sup>2</sup> /day	2500		
Resident Adult	SA	cm <sup>2</sup> /day	5000		
Commercial Worker	SA	cm <sup>2</sup> /day	5000		
Construction Worker	SA	cm <sup>2</sup> /day	5000		
<b>Relative Absorption Factors</b>					
Oral Relative Absorption Factor	RAF <sub>o</sub>	--	1		
<b>Dermal Relative Absorption Factor</b>					
Volatiles	RAF <sub>d</sub>	--	0.5		
PAHs	RAF <sub>d</sub>	--	0.05		
Metals	RAF <sub>d</sub>	--	0.001		
<b>Soil to Skin Adherence Factor</b>					
Resident Child	M	mg/cm <sup>2</sup>	0.5		
Resident Adult	M	mg/cm <sup>2</sup>	0.5		
Commercial Worker	M	mg/cm <sup>2</sup>	0.5		
Construction Worker	M	mg/cm <sup>2</sup>	0.5		
<b>Target Risk Level</b>	TR	--	1x10 <sup>-5</sup>		
<b>Target Hazard Quotient</b>	THQ	--	1		



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## JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS

1.	Averaging time for carcinogens (AT <sub>c</sub> ) [years]
2.	Averaging time for non-carcinogens (AT <sub>n</sub> ) [years]
3.	Body weight: child receptors (BW) [kg]
4.	Body weight: adult receptors (BW) [kg]
5.	Exposure duration: resident child (ED) [years]
6.	Exposure duration: resident adult (ED) [years]
7.	Exposure duration: commercial worker (ED) [years]

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**JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS**

8.	Exposure duration: construction worker (ED) [years]
9.	Exposure frequency: resident child (EF) [days/year]
10.	Exposure frequency: resident adult (EF) [days/year]
11.	Exposure frequency: commercial worker (EF) [days/year]
12.	Exposure frequency: construction worker (EF) [days/year]
13.	Soil ingestion rate: resident child (IR <sub>soil</sub> ) [mg/day]
14.	Soil ingestion rate: resident adult (IR <sub>soil</sub> ) [mg/day]

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## JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS

15.	Soil ingestion rate: commercial worker ( $IR_{soil}$ ) [mg/day]
16.	Soil ingestion rate: construction worker ( $IR_{soil}$ ) [mg/day]
17.	Groundwater ingestion rate: resident adult ( $IR_w$ ) [L/day]
18.	Hourly indoor inhalation rate: resident child ( $IR_{air-indoor}$ ) [ $m^3/hr$ ]
19.	Hourly indoor inhalation rate: resident adult ( $IR_{air-indoor}$ ) [ $m^3/hr$ ]
20.	Hourly indoor inhalation rate: commercial worker ( $IR_{air-indoor}$ ) [ $m^3/hr$ ]
21.	Hourly indoor inhalation rate: construction worker ( $IR_{air-indoor}$ ) [ $m^3/hr$ ]

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## JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS

22. Exposure time for indoor inhalation: resident child ( $ET_{in}$ ) [hrs/day]23. Exposure time for indoor inhalation: resident adult ( $ET_{in}$ ) [hrs/day]24. Exposure time for indoor inhalation: commercial and construction workers ( $ET_{in}$ ) [hrs/day]25. Hourly outdoor inhalation rate: resident child ( $IR_{air-outdoor}$ ) [ $m^3/hr$ ]26. Hourly outdoor inhalation rate: resident adult ( $IR_{air-outdoor}$ ) [ $m^3/hr$ ]27. Hourly outdoor inhalation rate: commercial and construction workers ( $IR_{air-outdoor}$ ) [ $m^3/hr$ ]28. Exposure time for outdoor inhalation: resident child ( $ET_{out}$ ) [hrs/day]

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## JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS

29.	Exposure time for outdoor inhalation: resident adult ( $ET_{out}$ ) [hrs/day]
30.	Exposure time for outdoor inhalation: commercial and construction workers ( $ET_{out}$ ) [hrs/day]
31.	Skin surface area: resident child (SA) [ $cm^2/day$ ]
32.	Skin surface area: resident adult (SA) [ $cm^2/day$ ]
33.	Skin surface area: commercial worker (SA) [ $cm^2/day$ ]
34.	Skin surface area: construction worker (SA) [ $cm^2/day$ ]
35.	Oral relative absorption factor ( $RAF_o$ ) [--]

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## JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS

36.	Dermal relative absorption factor: volatiles (RAF <sub>d</sub> ) [--]	
37.	Dermal relative absorption factor: PAHs (RAF <sub>d</sub> ) [--]	
38.	Dermal relative absorption factor: metals (RAF <sub>d</sub> ) [--]	
39.	Soil-skin adherence factor: resident child (M) [mg/cm <sup>2</sup> ]	
40.	Soil-skin adherence factor: resident adult (M) [mg/cm <sup>2</sup> ]	
41.	Soil-skin adherence factor: commercial worker (M) [mg/cm <sup>2</sup> ]	
42.	Soil-skin adherence factor: construction worker (M) [mg/cm <sup>2</sup> ]	

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**JUSTIFICATION FOR TIER 3 EXPOSURE FACTORS**

43.	Target risk level (TR) [-]
44.	Target hazard quotient (THQ) [-]
45.	
46.	
47.	
48.	
49.	

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**TIER 3 CONCLUSIONS AND RECOMMENDATIONS**

1. Are on-site soil and groundwater concentrations protective of current and reasonable future on-site receptors?

2. Are off-site soil and groundwater concentrations protective of current and reasonable future off-site receptors?

3. Are source soil concentrations protective of groundwater at a POE?

4. Are source groundwater concentrations protective of groundwater at a POE?

5. Are the source soil and groundwater concentrations protective of a stream?

6. Is site recommended for NFA status?



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TIER 3 CONCLUSIONS AND RECOMMENDATIONS

7. Is compliance monitoring of groundwater recommended?

8. Are interim remediation and reevaluation recommended?

9. Is remediation to applicable Tier 3 standards recommended?

10. Other relevant information: